

FOIA ANNUAL REPORT

FOR

October 1, 2015
THROUGH
September 30, 2016

October 2016

The following **Annual Freedom of Information Act** report covers the
Period October 1, 2015, through September 30, 2016, as required by 5 U.S.C. 552.

I. BASIC INFORMATION REGARDING REPORT

1. Provide name, title, address, and telephone number of person(s) to be contacted with questions about the Report.

Miriam Brown-Lam
Principal Agency FOIA Officer
300 E. Street SW
Washington, DC 20546
202-358-0718

2. Provide an electronic link for access to the Report on the agency Web site.
<http://www.nasa.gov/FOIA/reports.html>

3. Explain how to obtain a copy of the Report in paper form.
Requesters may write to:
Freedom of Information Act (FOIA) Office
300 E. Street, SW, Code P-FOIA
Washington, DC 20546-0001
Email: FOIA@hq.nasa.gov

II. MAKING A FOIA REQUEST

1. Provide names, addresses, and telephone numbers of all individual agency components that receive FOIA requests.

Martha Terry, ARC FOIA Public Liaison Officer; 202-358-2339
NASA Headquarters, (on behalf of: Ames Research Center FOIA Requester Service Center)
Washington, DC 20546-0001

Martha Terry, AFRC FOIA Public Liaison Officer; 202-358-2339
NASA Headquarters (on behalf of Armstrong Flight Research Center, FOIA Requester Service Center)
Washington, DC 20546-0001

Martha Terry, GRC FOIA Public Liaison Officer; 202-358-2339
NASA Headquarters, (on behalf of Glenn Research Center, FOIA Requester Service Center)
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Joan Belt, GSFC FOIA Public Liaison Officer; 301-286-4721
NASA Goddard Space Flight Center (GSFC) FOIA Requester Service Center, MS213.1
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Penny Myers, KSC FOIA Public Liaison Officer; 321-867-9280
NASA Kennedy Space Center (KSC), FOIA Requester Service Center MC XA-A1
Kennedy Space Center, FL 32889

Carissa Wheeler, LaRC FOIA Public Liaison Officer; 757-864-4274

NASA Langley Research Center (LaRC), FOIA Requester Service Center, MS 218
Hampton, VA 23681

Judi Hollingsworth, MSFC FOIA Public Liaison Officer; 256-544-1837
NASA Marshall Space Flight Center (MSFC), FOIA Requester Service Center, MC CS20
Marshall Space Flight Center, AL 35812

Martha Terry, NSSC FOIA Public Liaison Officer; 202-358-2339
NASA Headquarters, (on behalf of NASA Shared Services Center, FOIA Requester Service Center)
Washington, DC 20546-0001

Frank LaRocca, OIG Public Liaison Officer; 202-358-2575
NASA Office of the Inspector General (OIG); FOIA Requester Service Center
Washington, DC 20546-0001

Sallie Bilbo, SSC FOIA Public Liaison Officer; 228-688-3182
NASA Stennis Space Center (SSC), FOIA Requester Service Center
Code CA 10, Stennis Space Center, MS 39529-6000

2. Provide a brief description of why some requests are not granted and an overview of certain general categories of the agency's records to which the FOIA exemptions apply.

Generally, when requests are not granted it is due to the fact that the documents are exempt from release in accordance with the FOIA. NASA processes a substantial number of requests for contracts as well as deliberative process materials.

III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS

1. Provide any agency-specific acronyms or terms used in this Report.

Agency Component Acronyms:

NASA - National Aeronautics and Space Administration

HQ - NASA Headquarters

ARC - Ames Research Center

AFRC - Armstrong Flight Research Center

GSFC -Goddard Space Flight Center
JSC - Johnson Space Flight Center
JPL - Jet Propulsion Laboratory
KSC - Kennedy Space Flight Center
LaRC - Langley Research Center
GRC - Glenn Research Center
MSFC - Marshall Space Flight Center
NSSC - NASA Shared Service Center
OGC -Office of the General Counsel
OIG -Office of the Inspector General
SSC - Stennis Space Center

2. Include the following definitions of terms used in this Report:
 - a. **Administrative Appeal** - a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
 - b. **Average Number** - the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
 - c. **Backlog** - the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
 - d. **Component** - for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
 - e. **Consultation** - the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
 - f. **Exemption 3 Statute** - a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.
 - g. **FOIA Request** - a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a "third-party" request), or concerning an organization, or a particular topic of interest. FOIA

requests also include requests made by requesters seeking records concerning themselves (i.e., "first-party" requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report.

Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

- h. **Full Grant** - an agency decision to disclose all records in full in response to a FOIA request.
- i. **Full Denial** - an agency decision not to release any records in response to a FOIA request because the records are exempt in their entireties under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
- j. **Median Number** - the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
- k. **Multi-Track Processing** - a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.
 - i. **Expedited Processing** - an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.
 - ii. **Simple Request** - a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.
 - iii. **Complex Request** - a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.
- l. **Partial Grant/Partial Denial** - in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
- m. **Pending Request or Pending Administrative Appeal** - a request or administrative appeal for which an agency has not taken final action in all respects.

- n. **Perfected Request** - a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
- o. **Processed Request or Processed Administrative Appeal** - a request or administrative appeal for which an agency has taken final action in all respects.
- p. **Range in Number of Days** - the lowest and highest number of days to process requests or administrative appeals.
- q. **Time Limits** - the time period in the statute for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).

3. Include the following concise descriptions of the nine FOIA exemptions:

- a. **Exemption 1:** classified national defense and foreign relations information
- b. **Exemption 2:** internal agency rules and practices
- c. **Exemption 3:** information that is prohibited from disclosure by another federal law
- d. **Exemption 4:** trade secrets and other confidential business information
- e. **Exemption 5:** inter-agency or intra-agency communications that are protected by legal privileges
- f. **Exemption 6:** information involving matters of personal privacy
- g. **Exemption 7:** records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual
- h. **Exemption 8:** information relating to the supervision of financial institutions
- i. **Exemption 9:** geological information on wells

IV. EXEMPTION 3 STATUTES

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
10 U.S.C. § 2305(g)	Certain contractor proposals	Roman v. NSA, Nos. 09-2947, 09-4281, 09-3344, 09-2504, 09-5633, 2012 WL 569747, at *7 (E.D.N.Y. Feb. 22, 2012); Margolin v. NASA, No. 09-CV-00421-LRH-VPC, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011).	AFRC	1	22
			ARC	4	
			GSFC	7	
			HQ	1	
			JPL	1	
			JSC	2	
			KSC	1	
			LaRC	2	
			MSFC	1	
			NSSC	2	
41 U.S.C. § 2102	Contractor bid or proposal information; source selection information	Legal & Safety Employer Research, Inc. V U.S. Dept of the Army, No, Civ. S001748, 2001 UL 34098652, at *3-4 (E.D. Cal. May 4, 2001) (dictum)	ARC	1	9
			GSFC	2	
			JPL	1	

			JSC	1	
			MSFC	4	
22 U.S.C. § 2778(e) (Arms Export Control Act)	Certain information pertaining to export license applications	Council for a Livable World v. U.S. Dep't of State, No. 96-1807, slip op. at 11 (D.D.C. Jan. 21, 1998), amended (D.D.C. Nov. 23, 1998).	JSC	2	8
			KSC	1	
			MSFC	4	
			SSC	1	

V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
AFRC	1	26	26	1
ARC	6	33	35	4
GRC	1	12	11	2
GSFC	9	75	80	4
HQ	9	253	252	10
JPL	0	41	41	0
JSC	11	150	151	10
KSC	5	66	64	7
LaRC	1	36	37	0
MSFC	3	54	55	2
NSSC	0	23	23	0
OGC	0	0	0	0
OIG	6	48	53	1
SSC	4	17	19	2
AGENCY OVERALL	56	834	847	43

After reviewing its database, ARC adjusted the number of requests pending at the start of the Fiscal Year.

V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

Agency / Component	Number of Full Grants	Number of Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									TOTAL
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	
AFRC	7	4	2	0	0	1	1	0	1	3	4	3	26
ARC	5	8	3	4	0	2	3	4	3	1	0	2	35
GRC	2	2	0	0	0	4	2	1	0	0	0	0	11
GSFC	14	20	5	6	3	3	8	6	5	0	7	3	80
HQ	31	26	4	21	13	3	4	66	46	13	0	25	252
JPL	0	5	0	2	2	0	2	17	1	2	8	2	41
JSC	34	28	5	13	2	6	7	15	12	9	17	3	151
KSC	5	9	4	0	2	2	3	12	16	6	5	0	64
LaRC	3	8	0	1	5	1	0	4	7	0	7	1	37
MSFC	13	20	5	1	0	4	7	2	0	1	2	0	55
NSSC	2	1	2	2	0	2	2	2	1	1	3	5	23
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	2	35	5	5	0	1	0	0	0	0	4	1	53
SSC	1	10	0	0	0	3	3	1	0	0	1	0	19
AGENCY OVERALL	119	176	35	55	27	32	42	130	92	36	58	45	847

**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS
BASED ON REASONS OTHER THAN EXEMPTIONS"**

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
AFRC	Publicly Available Information	3	3
ARC	Publicly Available Information	2	2
GSFC	Publicly Available Information	3	3
HQ	Publicly Available Information	25	25
JPL	Publicly Available Information	2	2
JSC	Publicly Available Information	3	3
LaRC	Publicly Available Information	1	1
NSSC	Publicly Available Information	5	5
OIG	Publicly Available Information	1	1
AGENCY OVERALL			45

V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
AFRC	0	0	1	3	2	1	0	0	0	0	1	1	0	0
ARC	0	0	5	8	2	1	0	0	0	0	4	4	0	0
GRC	0	0	0	1	0	2	0	0	0	0	1	0	0	0
GSFC	0	0	9	19	2	1	0	0	0	0	0	0	0	0
HQ	0	1	1	4	10	13	3	0	0	0	6	3	0	0
JPL	0	0	2	5	2	5	0	0	0	0	1	0	0	0
JSC	0	1	5	18	4	4	0	0	0	0	2	0	0	0
KSC	0	0	2	8	0	2	1	0	0	0	0	0	0	0
LaRC	0	1	2	2	3	3	0	0	1	0	0	1	0	0
MSFC	0	0	8	16	2	2	0	0	0	0	0	1	0	0
NSSC	0	0	2	0	0	1	0	0	0	0	0	0	0	0
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	0	0	0	1	10	35	7	0	34	9	12	1	0	0
SSC	0	0	1	10	1	3	0	0	0	0	2	3	0	0
AGENCY OVERALL	0	3	38	95	38	73	11	0	35	9	29	14	0	0

**VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS --
RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS**

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
OGC	5	20	21	4
OIG	0	0	0	0
AGENCY OVERALL	5	20	21	4

VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
OGC	11	4	2	4	21
OIG	0	0	0	0	0
AGENCY OVERALL	11	4	2	4	21

VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
OGC	0	0	1	0	1	1	0	0	0	0	0	2	0	0
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	1	0	1	1	0	0	0	0	0	2	0	0

VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
OGC	0	0	2	0	0	2	0	0	0	0	0
OIG	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	2	0	0	2	0	0	0	0	0

VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
OGC	N/A	0	0
OIG	N/A	0	0
AGENCY OVERALL			0

VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
OGC	30.50	33.58	5.00	68.00
OIG	0.00	0.00	0.00	0.00
AGENCY OVERALL	30.50	33.58	5.00	68.00

VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
OGC	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	2016-09-21	2016-08-26	2016-08-26	2016-08-19
	Number of Days Pending	0	0	0	0	0	0	7	24	24	29
OIG	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	2016-09-21	2016-08-26	2016-08-26	2016-08-19
	Number of Days Pending	0	0	0	0	0	0	7	24	24	29

VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
AFRC	10	8.8	<1	38	26	27.22	2	62	N/A	N/A	N/A	N/A
ARC	5	8.1	2	24	21	28.67	8	72	N/A	N/A	N/A	N/A
GRC	22.5	22.5	11	34	9.5	16.67	<1	46	N/A	N/A	N/A	N/A
GSFC	7	12.45	<1	59	34	36.35	4	91	N/A	N/A	N/A	N/A
HQ	7.5	8.06	<1	27	28	29.14	<1	67	N/A	N/A	N/A	N/A
JPL	5	5	3	7	24	20.57	5	29	N/A	N/A	N/A	N/A
JSC	7	10.5	<1	53	23.5	42.09	2	198	N/A	N/A	N/A	N/A
KSC	<1	0.67	<1	2	20	35.74	<1	105	N/A	N/A	N/A	N/A
LaRC	4	4.92	<1	12	28	30.5	4	62	N/A	N/A	N/A	N/A
MSFC	10	9.44	<1	20	24	28.75	8	59	N/A	N/A	N/A	N/A
NSSC	13.5	11.5	2	17	11	15.8	<1	53	N/A	N/A	N/A	N/A
OGC	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OIG	11.5	17.53	3	120	22	33.27	4	131	7	7	7	7
SSC	13.5	11.75	1	19	23	26.9	1	74	N/A	N/A	N/A	N/A
AGENCY OVERALL	8	10.34	<1	120	25.5	33.37	<1	198	7	7	7	7

Due to a system limitation, the charts in this section may contain information about unperfected requests.

VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
AFRC	10	8.33	<1	15	30	30.38	12	62	N/A	N/A	N/A	N/A
ARC	3	9.4	3	24	34	36.5	8	72	N/A	N/A	N/A	N/A
GRC	22.5	22.5	11	34	24	24	2	46	N/A	N/A	N/A	N/A
GSFC	11	15.8	3	59	38	38.58	6	91	N/A	N/A	N/A	N/A
HQ	9	9.82	<1	27	31.5	32.54	2	67	N/A	N/A	N/A	N/A
JPL	N/A	N/A	N/A	N/A	28	25.6	18	29	N/A	N/A	N/A	N/A
JSC	8	11.37	<1	53	30	51.19	4	198	N/A	N/A	N/A	N/A
KSC	2	2	2	2	26	42.23	6	105	N/A	N/A	N/A	N/A
LaRC	5	6.29	<1	12	30.5	34.75	16	62	N/A	N/A	N/A	N/A
MSFC	4.5	8.27	<1	20	28	35.67	20	59	N/A	N/A	N/A	N/A
NSSC	11	9.67	2	16	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OGC	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OIG	13	20.36	4	120	24	34.07	4	131	7	7	7	7
SSC	11	10.33	1	19	26.5	31.62	17	74	N/A	N/A	N/A	N/A
AGENCY OVERALL	10	11.72	<1	120	29	39.38	2	198	7	7	7	7

Due to a system limitation, the charts in this section may contain information about unperfected requests.

VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
AFRC	14	1	0	0	0	0	0	0	0	0	0	0	0	15
ARC	15	1	0	0	0	0	0	0	0	0	0	0	0	16
GRC	1	1	0	0	0	0	0	0	0	0	0	0	0	2
GSFC	32	2	2	0	0	0	0	0	0	0	0	0	0	36
HQ	191	2	0	0	0	0	0	0	0	0	0	0	0	193
JPL	19	0	0	0	0	0	0	0	0	0	0	0	0	19
JSC	58	1	2	0	0	0	0	0	0	0	0	0	0	61
KSC	33	0	0	0	0	0	0	0	0	0	0	0	0	33
LaRC	30	0	0	0	0	0	0	0	0	0	0	0	0	30
MSFC	49	0	0	0	0	0	0	0	0	0	0	0	0	49
NSSC	14	0	0	0	0	0	0	0	0	0	0	0	0	14
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	25	9	1	0	0	1	0	0	0	0	0	0	0	36
SSC	6	0	0	0	0	0	0	0	0	0	0	0	0	6
AGENCY OVERALL	487	17	5	0	0	1	0	0	0	0	0	0	0	510

Due to a system limitation, the charts in this section may contain information about unperfected requests.

VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
AFRC	6	3	1	1	0	0	0	0	0	0	0	0	0	11
ARC	13	3	2	1	0	0	0	0	0	0	0	0	0	19
GRC	7	1	1	0	0	0	0	0	0	0	0	0	0	9
GSFC	22	8	10	3	1	0	0	0	0	0	0	0	0	44
HQ	32	20	6	1	0	0	0	0	0	0	0	0	0	59
JPL	18	4	0	0	0	0	0	0	0	0	0	0	0	22
JSC	57	13	3	8	4	1	2	0	1	1	0	0	0	90
KSC	21	3	0	5	1	1	0	0	0	0	0	0	0	31
LaRC	4	1	1	1	0	0	0	0	0	0	0	0	0	7
MSFC	4	1	1	0	0	0	0	0	0	0	0	0	0	6
NSSC	8	0	1	0	0	0	0	0	0	0	0	0	0	9
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	5	7	3	0	0	0	1	0	0	0	0	0	0	16
SSC	7	4	1	1	0	0	0	0	0	0	0	0	0	13
AGENCY OVERALL	204	68	30	21	6	2	3	0	1	1	0	0	0	336

Due to a system limitation, the charts in this section may contain information about unperfected requests.

VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
AFRC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ARC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GRC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GSFC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
HQ	0	0	0	0	0	0	0	0	0	0	0	0	0	0
JPL	0	0	0	0	0	0	0	0	0	0	0	0	0	0
JSC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
KSC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
LaRC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
MSFC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NSSC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	1	0	0	0	0	0	0	0	0	0	0	0	0	1
SSC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	1	0	0	0	0	0	0	0	0	0	0	0	0	1

Due to a system limitation, the charts in this section may contain information about unperfected requests.

VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

Agency / Component	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
AFRC	0	N/A	N/A	1	20	20	0	N/A	N/A
ARC	1	1	1	2	20	20	0	N/A	N/A
GRC	1	57	57	0	N/A	N/A	0	N/A	N/A
GSFC	0	N/A	N/A	3	21	44	0	N/A	N/A
HQ	0	N/A	N/A	5	17	19	0	N/A	N/A
JPL	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
JSC	3	4	16	4	17.5	21	0	N/A	N/A
KSC	0	N/A	N/A	6	15.5	21	0	N/A	N/A
LaRC	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
MSFC	1	12	12	1	21	21	0	N/A	N/A
NSSC	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
OGC	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
OIG	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
SSC	0	N/A	N/A	1	17	17	0	N/A	N/A
AGENCY OVERALL	6	8	20	23	20	23	0	N/A	N/A

Due to a system limitation, the charts in this section may contain information about unperfected requests.

VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

[illegible]

	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
MSFC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2016-09-14	2016-08-31
	Number of Days Pending	0	0	0	0	0	0	0	0	12	21
NSSC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
OGC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
OIG	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
SSC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2016-09-07
	Number of Days Pending	0	0	0	0	0	0	0	0	0	17
AGENCY OVERALL	Date of Receipt	2016-08-31	2016-08-29	2016-08-29	2016-08-23	2016-07-28	2016-07-26	2016-07-22	2016-07-13	2016-07-12	2016-05-02
	Number of Days Pending	21	23	23	27	45	47	49	56	57	106

VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
AFRC	0	0	N/A	N/A	0
ARC	0	0	N/A	N/A	0
GRC	0	2	1	1	2
GSFC	0	1	1	1	1
HQ	0	6	1	4.83	4
JPL	0	0	N/A	N/A	0
JSC	0	1	1	1	1
KSC	0	3	1	1.67	3
LaRC	0	1	4	4	1
MSFC	0	2	0.5	0.5	2
NSSC	0	0	N/A	N/A	0
OGC	0	0	N/A	N/A	0
OIG	1	0	9	9	1
SSC	0	0	N/A	N/A	0
AGENCY OVERALL	1	16	1	2.82	15

VIII.B. REQUESTS FOR FEE WAIVER

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
AFRC	0	0	N/A	N/A
ARC	0	1	1	1
GRC	0	0	N/A	N/A
GSFC	0	0	N/A	N/A
HQ	2	0	1	1
JPL	0	0	N/A	N/A
JSC	0	0	N/A	N/A
KSC	0	5	1	1
LaRC	1	1	1	1
MSFC	0	5	1	1
NSSC	0	0	N/A	N/A
OGC	0	0	N/A	N/A
OIG	0	0	N/A	N/A
SSC	0	1	N/A	N/A
AGENCY OVERALL	3	13	1	1

IX. FOIA PERSONNEL AND COSTS

Agency / Component	PERSONNEL			COSTS		
	Number of "Full- Time FOIA Employees"	Number of "Equivalent Full- Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
AFRC	0	0.25	0.25	\$26,850.54	\$0.00	\$26,850.54
ARC	0	0.25	0.25	\$28,057.44	\$0.00	\$28,057.44
GRC	0	0.25	0.25	\$25,585.97	\$0.00	\$25,585.97
GSFC	1	0	1	\$103,869.15	\$0.00	\$103,869.15
HQ	2	0	2	\$295,423.77	\$0.00	\$295,423.77
JPL	1	0	1	\$108,816.46	\$0.00	\$108,816.46
JSC	1	0	1	\$117,296.77	\$0.00	\$117,296.77
KSC	2	0	2	\$177,618.21	\$0.00	\$177,618.21
LaRC	1	0	1	\$846,652.81	\$0.00	\$846,652.81
MSFC	1	0	1	\$105,942.64	\$0.00	\$105,942.64
NSSC	0	0.25	0.25	\$25,171.46	\$0.00	\$25,171.46
OGC	0	0.12	0.12	\$30,285.51	\$0.00	\$30,285.51
OIG	0	0.25	0.25	\$33,382.87	\$0.00	\$33,382.87
SSC	0	0.35	0.35	\$36,508.72	\$0.00	\$36,508.72
AGENCY OVERALL	9	1.72	10.72	\$1,961,462.32	\$0.00	\$1,961,462.32

X. FEES COLLECTED FOR PROCESSING REQUESTS

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
AFRC	\$1,106.26	4.12%
ARC	\$1,569.27	5.59%
GRC	\$736.09	2.88%
GSFC	\$844.39	0.81%
HQ	\$852.62	0.29%
JPL	\$1,476.19	1.36%
JSC	\$11,551.17	9.85%
KSC	\$615.56	0.35%
LaRC	\$432.36	0.05%
MSFC	\$2,179.43	2.06%
NSSC	\$70.48	0.28%
OGC	\$0.00	0.00%
OIG	\$0.00	0.00%
SSC	\$5,939.64	16.27%
AGENCY OVERALL	\$27,373.46	1.40%

XI.A. NUMBER OF TIMES SUBSECTION (C) USED

Agency / Component	Number of Times Subsection (C) Used
AFRC	0
ARC	0
GRC	0
GSFC	0
HQ	0
JPL	0
JSC	0
KSC	0
LaRC	0
MSFC	0
NSSC	0
OGC	0
OIG	0
SSC	0
AGENCY OVERALL	0

XI.B. NUMBER OF SUBSECTION (A)(2) POSTINGS

Agency / Component	Number of (a)(2) Records Posted by the FOIA Office	Number of (a)(2) Records Posted by Program Offices
AFRC	0	0
ARC	0	0
GRC	0	0
GSFC	31	0
HQ	25	0
JPL	0	0
JSC	1,245	0
KSC	2	0
LaRC	11	0
MSFC	237	0
NSSC	0	0
OGC	0	0
OIG	27	0
SSC	22	0
AGENCY OVERALL	1600	0

XII.A. BACKLOGS OF FOIA REQUESTS AND ADMINISTRATIVE APPEALS

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
AFRC	0	N/A
ARC	2	N/A
GRC	1	N/A
GSFC	1	N/A
HQ	1	N/A
JPL	0	N/A
JSC	3	N/A
KSC	0	N/A
LaRC	0	N/A
MSFC	0	N/A
NSSC	0	N/A
OGC	0	3
OIG	0	0
SSC	0	N/A
AGENCY OVERALL	8	3

XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
AFRC	0	0	0	0
ARC	0	1	1	0
GRC	0	3	3	0
GSFC	0	1	1	0
HQ	0	10	10	0
JPL	0	0	0	0
JSC	0	2	2	0
KSC	0	0	0	0
LaRC	0	0	0	0
MSFC	0	0	0	0
NSSC	0	0	0	0
OGC	0	0	0	0
OIG	0	1	1	0
SSC	0	0	0	0
AGENCY OVERALL	0	18	18	0

XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY

[illegible]

[illegible]

XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF REQUESTS <u>RECEIVED</u>		NUMBER OF REQUESTS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
AFRC	24	26	23	26
ARC	41	33	41	35
GRC	17	12	16	11
GSFC	100	75	97	80
HQ	231	253	231	252
JPL	56	41	56	41
JSC	133	150	126	151
KSC	99	66	117	64
LaRC	38	36	38	37
MSFC	73	54	72	55
NSSC	22	23	27	23
OGC	0	0	0	0
OIG	67	48	61	53
SSC	21	17	18	19
AGENCY OVERALL	922	834	923	847

After reviewing its database, ARC updated the number of requests received in Fiscal Year 2015.

XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
AFRC	0	0
ARC	0	2
GRC	0	1
GSFC	0	1
HQ	0	1
JPL	0	0
JSC	6	3
KSC	1	0
LaRC	1	0
MSFC	0	0
NSSC	0	0
OGC	0	0
OIG	2	0
SSC	0	0
AGENCY OVERALL	10	8

XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF APPEALS <u>RECEIVED</u>		NUMBER OF APPEALS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
OGC	31	20	27	21
OIG	0	0	0	0
AGENCY OVERALL	31	20	27	21

**XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM
PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS**

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
OGC	2	3
OIG	0	0
AGENCY OVERALL	2	3

